

Budget Monitoring Period: Forecast Outturn as at February 2016

Service	Forecast:		Nature of under/overspend: (eg. Staffing, Supplies & Services, income, etc)	Reason(s) for forecast under/overspend
	Overspend (+) £'000	Underspend (-) £'000		
Schools	381		Staffing	£51K for School Trade Union costs & £330K for School Redundancy/Pension costs. £98K Academy conversion deficit and £52k Taxation Assessment
Directorate Wide Costs	615		Staffing, supplies & services	£39k additional employment of Strategic Director, £217K for Interim Deputy Director & Interim Transformation Officer, £4K Cost Modelling Finance consultant, £98K due to the unachievable Special Educational Needs and Disabilities (SEND) saving, £6K supplies, £20K on Shakespeare Festival & £162K on recruitment costs partially offset by -£64k underspend on pensions due to reduction in pensioner numbers & -£17K allocation of Transformation Challenge Award grant
Director of Education & Skills		-1	Income	Use of grant income
School Improvement	182		Staffing, Supplies & services, Income	£129K Shortfall on traded income from schools in the School improvement service, £37K unachieved income target for room bookings at the Rockingham Professional Development Centre, £1K on Youth Enterprise Transport, £13K overspend on Health & Safety & £2K on staffing
Virtual School	21		Staffing, income	£61K due to withdrawal of Dedicated Schools Grant (DSG) funding by Schools Forum & £60K insufficient budget to meet restructured service costs offset with -£100K grant funding
Inclusion Services	716		Staffing, placements, income	£600K Relates to the unachieved SEND saving & a further £131K for the Social Care contribution towards, £15K for shortfall in traded income from schools on the Moving & Handling service offset with staffing slippage -£30K
Early Years services		-68	Staffing, supplies & services	-£45K Delays in recruiting to vacant post, -£16K underspend on supplies & -£7K additional grant income
Facilities Services		-44	Staffing, supplies & services, income	Town Centre Toilets +£10K offset with Hospitality -£9K, Caretakers -£32K & School Crossing Patrol -£13K
Early Help Director & Heads of Service		-56	Staffing	Underspend on Heads of Service posts due to delays in recruitment
Youth Support Services		-118	Staffing, supplies & services, income	£17K forecast overspend on Outdoor Education due to low bookings at Centres offset by -£135K underspend on staff vacancies/voluntary severance & activities in the Youth Service
Early Help Teams		-220	Staffing	Delay in the timing of recruitment to the proposed Early Help structure & staff not in the pension scheme
Education Welfare	57		Income	Withdrawal of DSG Funding by Schools Forum & unachievable income target
Commissioning, Performance & Quality	877		Staffing, supplies & services	Cost of Interim Strategic Lead £125K and additional staff in the Performance Team £233K, Commissioning Team £52K, Business Support £388K, Standards & Development £106K reduced by an underspend on the Assertive Outreach scheme due to part year costs -£27K
Safeguarding Management & Legal	383		Staffing, supplies & services	£4K printing costs, £16K secure Gmail accounts for safeguarding staff, £22K Interim Director of Improvement, £86K on recruitment costs & £255K on legal costs due to the number of exceptional & complex cases linked to the Jay review & other issues
Safeguarding Teams	205		Staffing, income	Loss of DSG £49K withdrawn by Schools Forum & new posts & agency staff
Locality Social Work Teams	2,279		Staffing, Supplies & services, Direct payments, income	£2.096m additional forecast agency & interim costs, £71K additional Direct Payments, £81K Section 17/23 payments, £218K Leaving Care accommodation/young peoples costs, £77K supplies & services, £8K transport offset with -£272K Transformation Challenge Award grant income
Evolve (Sexual Exploitation)	568		Staffing, contracts, income	£279K overspend on contracts to support victims and survivors of Child Sexual Exploitation (CSE), £11K NCC Audit, £16K loss of DSG withdrawn by Schools Forum, £262K additional agency & interim staff including regrading costs
Looked After Children	1,909		Placements, staffing, transport, allowances, premises	£1.694m OOA Residential placements, £443K Independent Fostering placements, £407K on Fostering mainly due to overspends on allowances, £25K on staffing LAC Contact, £2K on LAC transport costs & £114K on Leaving Care Accommodation, plus £363k on additional for in house & disability residential homes due to staff cover/agency/consultants, offset by under spends on Adoption -£672k mainly due to under spend on inter-agency adoption & -£71K slippage on the new Head of Service LAC post
First Response		-5	Staffing, supplies & services	£28K for Out of Hours telephony charge, offset by maternity vacancy
<b>Total</b>	<b>8,193</b>	<b>-512</b>		
<b>Net Under/Overspend</b>	<b>7,681</b>			

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Budget Monitoring Period: Forecast Outturn as at February 2015/16

Service	Forecast:		Nature of under/overspend: (eg. Staffing, Supplies & Services, income, etc.)	Reason(s) for forecast under/overspend
	Overspend (+) £'000	Underspend (-) £'000		
<b>Adult Services</b>				
Adults General		-208	Staffing	Higher than anticipated staff turnover within Contract and Reviewing Officers plus planned delay in use of training budget until 2016/17
<u>Older People</u>				
Direct Provision Residential Care		-178	Income	Additional income due to more full cost clients.
Independent Residential Care	461		Third Party Payments	Continued net increase in Residential and Nursing placements (+ 15 for year to date). Average weekly charge to year end is increasing plus under recovery of income against previous years Continuing Health Care (CHC) budget savings target.
Client Community Support Services (Rothercare)		-53	Supplies and Services, Income	Forecast saving on electricity charges and telephone charges plus additional income.
Enabling/Domiciliary Care	117		Third Party Payments	Significant decrease in client numbers (-100) due to clients request to enable them to continue with existing provider outside new Domiciliary Care framework agreement. Many of these clients have consequently transferred to a direct payment to maintain service continuity. This has increased the pressure on the Direct Payments budget - see below. Overall overspend relates to reduction in income from charges as client numbers have reduced.
Assessment & Care Management		-879	Staffing/Income	Vacant Social worker posts plus non recurrent funding/Care Act Funding to reduce overall pressures in Adult Social Care.
Direct Payments	839		Third Party Payments	Increase of 160 new clients since April mainly as a result of framework agreement on Domiciliary Care contract. Continued increase in weekly cost. Reviews being undertaken by Task Group is resulting in some reductions in the cost of care packages. Includes £900k one off Better Care Funding.
Extra Care/Day Care		-183	Supplies and Services, staffing, income	Forecast underspend on non-pay budgets, vacant posts through Voluntary Severance, partially reduced by lower income from client attendance
Carers Support		-12	Supplies and Services	Lower than expected rental charges for Carers Centre
<u>Learning Disabilities</u>				
Supported Living		-487	Third Party Payments/Staffing/Income	Contract savings negotiated with independent provider, staff turnover higher than budgeted in community support plus vacancies in some schemes.
Residential Care		-36	Third Party Payments/staffing	Contract savings negotiated with independent provider plus staff turnover higher than budgeted.
Day Care		-114	Staffing/Income	Staff turnover higher than budgeted plus additional CHC income.
Domiciliary Care	25		Supplies and Services	Delays in reviews resulting in some clients still being paid on domiciliary care framework rather than Direct Payments.

Assessment & care Management		-117	Staffing	Delay on recruitment of Team Manager and Social work posts
<u>Mental Health</u>				
Independent Residential Care	263		Third Party Payments	Additional high cost placements, further high cost placement in January transferred from neighbouring authority
Direct Payments	196		Third Party Payments	Increase placements however, these costs allow maintenance of the client's independence and has prevented admission to more costly Residential Care.
Day Care/Community Support		-82	Third Party Payments	Day Care and Community support budget underspending due to Supporting People budget now funding two contracts for 2015-16 only, plus efficiency savings on contract.
Assessment & care Management		-4	Staffing	Team manager vacancy
<u>Physical &amp; Sensory</u>				
Direct Payments	663		Third Party Payments	Overspend is due to client receiving increased package (+22 clients since April) being reduced by health funding (£226k). Reviews being undertaken by Task Group is resulting in some reductions in the cost of care packages.
Independent Residential Care	312		Third Party Payments	Higher than anticipated increase in residential placements (a net increase of 12 placements since April). A further 2 admissions in January.
Domiciliary Care		-20	Supplies and Services	Review of high cost packages is reducing expenditure
Day Care/Equipment/Advice & Information		-38	Third Party Payments	Efficiency savings after review of contracts
<u>Safeguarding</u>				
Domestic Violence		-4	Income	Non -recurrent PCC funding
Deprivation of Liberty Safeguards (DoLS)	40		Staffing/Third Party Payments/Income	Additional posts to meet demands for Deprivation of Liberty Safeguards (DoLS) following supreme court judgement as agreed by SLT, non-recurrent Specific Grant (£143k) is reducing actual cost.
Safeguarding Assessments		-63	Staffing	Staff turnover higher than budgeted.
Supporting People		-183	Supplies and Services	Negotiated contract reductions and under capacity on demand led 'spot contracts'.
Adults Commissioning		-72	Staffing	Staff turnover higher than budgeted.
Adults Performance		-132	Staffing	Staff turnover higher than budgeted.
<u>Neighbourhoods</u>				
Strategic Housing Investment		-9	Staffing	Small variation due to staff turnover
Housing Options		-259	Supplies & Services, Income	Additional Furnished Homes income from increase in clients plus savings on project costs
Housing & Estate Services		-19	Staffing, Income	Staff vacancy plus additional funding contribution
Safer Neighbourhoods		-60	Staffing, Supplies & Services	Savings on reduced spend on equipment plus secondment/Voluntary Severances
Central		-31	Supplies & Services	Actual insurance costs less than expected plus impact of moratorium on non essential spend

Neigh Partnerships	2,916	-92	Third Party Payments, staffing	Savings on contracted services due to delays in review of area assemblies/neighbourhood working plus voluntary severance. Underspend on Members Community Leadership Fund request to carry forward at year end (approx. £10k)
<b>Net Under/Overspend</b>	<b>-419</b>			

**Directorate:**

Environment & Development Services

**Budget Monitoring Period:**

Forecast Outturn as at February 2016

Service	Forecast:		Nature of under/overspend: (eg. Staffing, Supplies & Services, income, etc)	Reason(s) for forecast under/overspend
	Overspend (+) £'000	Underspend (-) £'000		
<b>Asset Management</b>				<b>Service Total (£105k) overspend</b>
Estates	103		Income	Under recovery of income from external work due to general market conditions. This forecast could increase to £200k.
Facilities Management	40		Staffing, Premises budgets	Although there are savings reported from Corporate Landlord and Land and Property due to ongoing planned rationalisation of property, this has reduced since last month to provide revenue contributions for capital spend on Riverside building alterations. More significantly the Greasbrough Road Depot outstanding debtor (£258k) which has previously been identified as at risk, is now known to be irrecoverable and therefore this is now included as a charge to the revenue budget. Work is ongoing to address RDASH outstanding debtor (+£107k) which is being pursued by the Facilities Management Team and also work is ongoing on unquantifiable office move costs due to changes required by CYPs .
Building Design and Corporate Projects		-29	Staffing & Income	Forecasts based on income due for current workload plus an estimate of potential income. Future income is subject to future workload which is reliant upon
Corporate Environmental Team		-23	Staffing, Premises budgets	Lower than budgeted staff turnover and reduced spend on utilities.
Children's Capital Team		-26	Staffing & Income	Small savings from a vacant post and an improved income forecast due to the increase in academy conversions.
Corporate Property Management	40		Staffing	Lower than budgeted staff turnover.
<b>Business Unit</b>				<b>Service Total (-£40k) underspend</b>
Business Unit		-40	Staffing, Supplies & Services	A small pressure on the staffing budget is being offset by savings expected on pensions costs, with a small saving on training budgets.
<b>Planning, Regeneration &amp; Culture</b>				<b>Service Total (-£50k) underspend</b>
Cultural Services		-168	Staffing & Income	Savings within theatre due to staff turnover, income over recovery, partially due to cultural vat exemption, and improved business from the café/bar and a very successful pantomime. The materials fund is also reporting a saving.

Management		-1	Staffing	Lower than anticipated staff turnover
Customer Services		-94	Staffing & Income	Improved position on registrars due to higher than expected income and reduced staffing and agency staff costs.
Regeneration	21		Income	Rental shortfall which is being mitigated in part by savings on staff budgets and non-pay spend.
Managed Workspace (Business Centres)	3		Supplies and services	Management will continue to work towards reducing expenditure at all the centres.
Management	5		Supplies and services	Expected increased costs of the public liability insurance
Markets	44		Income	Shortfall in both outdoor and indoor market rents, and some bad debt write offs. This overspend could be reduced in the future due to generating additional income from the expanding Street Market and new Sunday Market.
Planning	193		Staffing & Income	Planning application income shortfall has increased following a poor month on applications , though this is partially offset by vacant posts.
Rotherham Investment & Development Office (RIDO)	4		Supplies and services	A small variance is currently been reported, which will be reviewed further by management.
Building Control		-56	Income	Increased income from applications, some of which are expected to be significant, continued improvement.
<b>Streetpride</b>				<b>Service Total (-£579k) underspend</b>
Network Management		-158	Staffing, Supplies and Services & Income	The key pressure is an anticipated under recovery of income (+£135k) from Parking. This pressure is mitigated by savings on Street Lighting energy bills, additional income on Streetworks and the management of staff vacancies. (Note : winter maintenance forecast currently showing in risks and uncertainties section, £150k, this has been reduced further due to the uncharacteristic weather during the winter period)
Waste Management		-156	Staffing, Supplies and Services & Income	Waste Management is currently reporting an overall underspend although there have been increased overtime costs to support the Christmas working arrangements and spare resources being used on collection and recycling operations. Some costs have been mitigated by a slight improvement in income on bulky items and commercial waste, and lower than anticipated publicity costs, and from contributions from the PFI recycling operations.
Leisure and Green Spaces		-36	Staffing & income	Some small pressures across the service (countryside parks, including Thrybergh Country Park) are being offset by savings from vacant posts, and increased rechargeable works income on Trees & Woodlands.

Community Services	42	Staffing, Supplies and Services & Income	Pressure due to lower than budgeted staff turnover, some agency costs and late receipt & payment of ad-hoc 14/15 Dog Warden (vet fees) and Pest Control due to a loss of contracts and external works. These pressures have been partly mitigated by lower than budgeted charges for Cleansing Waste Disposal.
Corporate Transport Unit (incl Stores & Depot)		-59 Staffing, Supplies and Services & Income	There is still a pressure on Home to School Transport due to changes in routes / demand. The overspend on this account has reduced due to some routes being cheaper than originally forecast (+£53k). This is being mitigated by Stores & Depots (-£123k) - projecting an underspend due to staff vacancies and stores income. Depot contracted services and depot sweeping is now chargeable to the corporate landlord budget.
Transportation		-49 Staffing & Income	This is predominantly due to a vacant post in Transportation (+£33k) and Unit Manager vacancy in March (+£5k), with reduced spend on non pay budgets were spend is not essential, showing a saving on CCTV (-£20k).
Corporate Accounts		-101 Staffing	Saving due to a vacant post being managed across the service, and reprofiling expenditure to 2016/17.
Emergency Planning, Health & Safety		-35 Staffing & Supplies and Services	Savings from a vacant post and maternity leave and a carry forward from 14/15. As per the joint Emergency Planning arrangement with Sheffield City Council, any underspend should be carried forward.
Business Regulation		-28 Staffing, Supplies and Services & Income	The main pressure remains within Licensing largely due to agency costs employed resulting from CSE findings work cks). Partially off-set by a forecast underspend on Trading Standards as a result of vacant posts and reduced non pay expenditure. And smaller under spends on Bereavement Services, and in the Food, Safety & Animal Health service due to staff savings (maternity leave).
<b>Total</b>	<b>494</b>	<b>-1,059</b>	
<b>Net Under/Overspend</b>	<b>-564</b>		

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Service	Outturn Variance 2015/16		Nature of under/overspend: (e.g.. Staffing, Supplies & Services, income, etc)	Reason(s) for forecast under/overspend
	Overspend (+) £'000	Underspend (-) £'000		
ICT	0			Forecast balanced Outturn
Communications and Media	76		Staffing, income	Forecast staff cost pressure and agency costs associated with engagements to assist the Council with reputation management. Costs associated with web enhancement. Unachieved income in the Design studio.
Legal Services	181		Staffing, agency, income	Locum solicitors and additional staffing costs relating to maternity cover and Interim Assistant Director of Legal Services post. Increased staffing resource in Information Governance Unit to manage increase in workload. Overachieved legal income.
Democratic Services		-163	Members Allowances	Savings expected due to Members not receiving full Supplementary Responsibility Allowances (SRAs).
Elections	13		Staffing & Supplies & Services	Essential staff cover plus overspend on printing and postages due to Individual Electoral Registration.
Statutory Costs	114		Supplies & Services	High volume of statutory notices/planning notices. The forecast overspend may change in 2015/16 depending on number of notices required and any unanticipated corporate legal costs arising in year.
Business Unit	203		Staffing, income	Increased management support in keeping with new senior management structure. Unachievable income target relating to Central Print and Planned Print.
Human Resources (HR) & Payroll - Corporate Services		-212	Staffing, supplies & services, income	Reduced staff costs (vacancies), additional income generation, supplies & services savings
HR & Payroll - Service Centre		-28	Staffing, supplies & services, income	Reduced staff costs (vacancies and savings relating to VER/VS), loss of anticipated income, overspend on supplies & services budget specifically ICT.
Policy and Performance	12		Staffing, supplies & services	Additional staffing costs.
Procurement		-62	Staffing, supplies & services	Staff cost savings - maternity leave, Supplier volume discounts in excess of budgeted level and
Financial Services		-26	Staffing & Supplies & Services	Staff vacancies , training budget savings.
Revenues & Benefits		-66	Staffing & Income	Flexible use of grant income and staff vacancies
Internal Audit & Insurance	63		Staffing	Staff cost pressures to support 15/16 audit programme
Recruitment for Senior Posts	78		Staffing	Recruitment costs relating to Senior Posts
<b>Net Under/Overspend</b>		<b>183</b>		